# CASTELNUOVO-MUMFORD REGULARITY AND GORENSTEINNESS OF FIBER CONE

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#### Abstract

We study the Castelnuovo-Mumford regularity and Gorenstein properties of the fiber cone. We obtain upper bounds for the Castelnuovo-Mumford regularity of the fiber cone and obtain sufficient conditions for the regularity of the fiber cone to be equal to that of the Rees algebra. We give a formula for the canonical module of the fiber cone and use it to give a criterion for a Cohen-Macaulay fiber cone to be Gorenstein under the assumption that the associated graded ring is Gorenstein. Finally we discuss many interesting consequences of this criterion.

#### Definitions

Let  $(A, \mathfrak{m})$  be a commutative Noetherian local ring and I be an ideal of A. The graded algebras:

 $R(I) := \bigoplus_{n \ge 0} I^n t^n \subset R[t]$ , the **Rees algebra**  $G(I) := \bigoplus_{n \ge 0} I^n / I^{n+1}$ , the associated graded ring  $F(I) := \bigoplus_{n \ge 0} I^n / \mathfrak{m} I^n$ , the fiber cone.

These algebras are known as the **blowup algebras** associated to *I*.

For a standard graded algebra  $S = \bigoplus_{n \ge 0} S_n$  over a commutative Noetherian ring  $S_0$  and a finitely generated graded S-module  $M = \bigoplus_{n>0} M_n$ , define

$$\{\max\{n \mid M_n \neq 0\} \text{ if } M \neq 0\}$$

where  $S_+$  denotes the ideal of S generated by the homogeneous elements of positive degree and  $H^i_{S_+}(M)$ denotes the *i*-th local cohomology module of M with respect to the ideal  $S_+$ .

The **Castelnuovo-Mumford regularity** (or regularity) of M is defined as the number

 $reg(M) := max\{a_i(M) + i \mid i \ge 0\}.$ 

A *canonical module* of a Cohen-Macaulay graded ring S is a maximal Cohen-Macaulay graded module of finite injective dimension. It is unique up to a graded isomorphism, and denote by  $\omega_S$ .

A Cohen-Macaulay ring S with canonical module  $\omega_S$  is said to be **Gorenstein** if  $\omega_S \cong S(-b)$ , for some  $b \in \mathbb{Z}$ .

$$a(M) := \begin{cases} -\infty & \text{if } M = 0. \end{cases}$$

For  $i \geq 0$ , set

 $a_i(M) := a(H^i_{S_+}(M)),$ 

The dimension of F(I) is called the **analytic spread** of I and denote by  $\ell$ .

 $\mathcal{F}: A \supset \mathfrak{m} \supset \mathfrak{m} I \supset \mathfrak{m} I^2 \supset \cdots$ 

and  $R(\mathcal{F}) := A \oplus \mathfrak{m}t \oplus \mathfrak{m}It^2 \oplus \mathfrak{m}I^2t^3 \oplus \cdots$ 

## Castelnuovo-Mumford regularity of fiber cone

**Theorem.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an ideal of A with  $\ell = 1$ . Then

 $\operatorname{reg} F(I) \le \operatorname{reg} G(I).$ 

Furthermore, if grade I = 1, then reg F(I) = reg G(I) = r(I), where r(I) denotes the reduction number of I.

**Theorem.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an ideal of A. Suppose grade  $I = \ell$  and grade  $G(I)_+ \geq \ell - 1$ . Then

 $\operatorname{reg} F(I) \ge \operatorname{reg} G(I).$ 

Furthermore, if depth  $F(I) \ge \ell - 1$ , then reg  $F(I) = \operatorname{reg} G(I)$ .

Some sufficient conditions for the equality of reg F(I) and reg G(I):

**Proposition.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an ideal of A. If  $\operatorname{reg} R(\mathcal{F}) \leq \operatorname{reg} R(I), \ then$  $\operatorname{reg} F(I) = \operatorname{reg} R(I).$ 

**Proposition.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an  $\mathfrak{m}$ -primary ideal of A such that grade I > 0. Suppose

 $I^{n_0} = \mathfrak{m} I^{n_0 - 1}$ 

for some  $n_0 \in \mathbb{N}$ . Then

$$\operatorname{reg} F(I) = \operatorname{reg} G(I).$$

### Gorenstein fiber cones

**Proposition.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an  $\mathfrak{m}$ -primary ideal such that the associated graded ring G(I) is Cohen-Macaulay. Let  $\omega_{G(I)} = \bigoplus_{n \in \mathbb{Z}} \omega_n$ and  $\omega_{F(I)}$  be the canonical modules of G(I) and F(I) respectively. Then

- $\omega_{F(I)} \cong \bigoplus_{n \in \mathbb{Z}} (0 :_{\omega_n} \mathfrak{m});$
- a(F(I)) = a(G(I)) = r d, where r is the reduction number of I with respect to any minimal reduction J of I;
- for any  $k \in \mathbb{N}^{r+1}$ ,  $a(F(I^k)) = [\frac{a(F(I))}{k}] = [\frac{r-d}{k}];$
- if G(I) is Gorenstein, then

$$\omega_{F(I)} \cong \bigoplus_{n \in \mathbb{Z}} \frac{(I^{n+r-d+1} : \mathfrak{m}) \cap I^{n+r-d}}{I^{n+r-d+1}}.$$

**Corollary.** Suppose G(I) and F(I) are Cohen-Macaulay. Then  $\operatorname{reg} \omega_{G(I)} =$ reg  $\omega_{F(I)}$ . In addition if G(I) or F(I) is Gorenstein then

 $\operatorname{reg} \omega_{G(I)} = \dim(A) = \operatorname{reg} \omega_{F(I)}.$ 

A Criterion for a Cohen-Macaulay fiber cone to be Gorenstein, if the associated graded ring is Gorenstein:

**Theorem.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring, I be an  $\mathfrak{m}$ -primary ideal and J be a minimal reduction of I with reduction number r. Assume that G(I) is a Gorenstein ring and F(I) is Cohen-Macaulay. Then F(I) is Gorenstein if and only if

$$\lambda\left(\frac{\left((I^{n+1}+J):\mathfrak{m}\right)\cap I^{n}}{I^{n+1}+JI^{n-1}}\right) = \lambda\left(\frac{I^{n}}{\mathfrak{m}I^{n}+JI^{n-1}}\right)$$

for 
$$0 \le n \le r$$

**Theorem.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I be an ideal of A such that grade I > 0. Assume that  $\mathfrak{m}G(I)$  is a Cohen-Macaulay R(I)-module of dimension  $\ell$ . Then

- $\operatorname{reg} F(I) \leq \operatorname{reg} R(I);$
- if  $a_{\ell}(R(\mathcal{F})) 1 < a_{\ell}(R(I))$ , then reg  $F(I) = \operatorname{reg} R(I)$ ;

• if  $a_{\ell}(R(\mathcal{F})) - 1 = a_{\ell}(R(I))$ , then  $\operatorname{reg} \mathfrak{m}G(I) \leq \operatorname{reg} R(I)$  and  $\operatorname{reg} F(I) \leq \operatorname{reg} R(I)$ . Furthermore, if  $\operatorname{reg} \mathfrak{m} G(I) < \operatorname{reg} G(I)$ , then  $\operatorname{reg} F(I) = \operatorname{reg} R(I)$ .

**Example.** Let A = k[X, Y, Z]/J, where  $J = (X^4, XY^2Z, XYZ^2, YZ^4, Z^5)$ . Let  $I = (\bar{X}^3, \bar{Y}^2, \bar{Z}^2)$  and  $\mathfrak{m} = (\bar{X}, \bar{Y}, \bar{Z})$ . Then the reduction number of I is r(I) = 3and  $\operatorname{reg}(F(I)) = 4$ . Therefore  $r(I) < \operatorname{reg} F(I)$ .

#### Some interesting consequences of the criterion:

**Corollary.** Let  $(A, \mathfrak{m})$  be a Noetherian local ring and I is an  $\mathfrak{m}$ -primary ideal. Then G(I) and F(I) are Gorenstein  $\Rightarrow A/I$  is Gorenstein.

**Corollary.** Suppose I is an m-primary ideal such that G(I) and F(I) are Gorenstein. Then  $pd(A/I) < \infty \Leftrightarrow I$  is generated by a regular sequence.

**Corollary.** Suppose  $(A, \mathfrak{m})$  is a regular local ring and I is an  $\mathfrak{m}$ -primary ideal of A such that G(I) and F(I) are Gorenstein. Then I is generated by a regular sequence.

## References

[1] A.V. Jayanthan and Ramakrishna Nanduri, Castelnuovo-Mumford regularity and Gorensteinness of fiber cone. Communications in Algebra, 40(4) 2012, 1338–1351.