

# On (Subideal) Border Bases and Their Generalization to Modules

Markus Kriegl

Fakultät für Informatik und Mathematik, Universität Passau, Germany

## Notation

- ▶  $P = K[x_1, \dots, x_n]$  is the polynomial ring over a field  $K$ .
- ▶  $\mathbb{T}^n$  is the monoid of all terms  $x_1^{\alpha_1} \cdots x_n^{\alpha_n}$  with  $\alpha_i \in \mathbb{N}$  in  $P$ .
- ▶  $P^r$  is the free  $P$ -module with canonical basis  $\{e_1, \dots, e_r\}$ .
- ▶  $\mathbb{T}^n \langle e_1, \dots, e_r \rangle$  is the monoid of all terms in  $P^r$ , i. e. elements of the form  $te_k \in P^r$  with  $t \in \mathbb{T}^n$  and  $k \in \{1, \dots, n\}$ .

## Further Notation

- ▶  $\mathcal{M} = \{t_1 e_{\alpha_1}, \dots, t_\mu e_{\alpha_\mu}\} \subseteq \mathbb{T}^n \langle e_1, \dots, e_r \rangle$  is an order module with  $t_i \in \mathbb{T}^n$  and  $\alpha_i \in \{1, \dots, r\}$ .
- ▶  $\mathcal{G} = \{\mathcal{G}_1, \dots, \mathcal{G}_\nu\} \subseteq P^r$  is an  $\mathcal{M}$ -module border prebasis.
- ▶  $M = \langle m_1, \dots, m_r \rangle$  is a finitely generated  $P$ -module.
- ▶  $\varphi : P^r \rightarrow M, e_k \mapsto m_k$  is a  $P$ -module epimorphism.

## Order Modules and Their Borders [4]

- ▶ A finite set  $\mathcal{O} \subseteq \mathbb{T}^n$  is called an **order ideal** if it is closed under forming divisors.

- ▶ For an order ideal  $\mathcal{O} \subseteq \mathbb{T}^n$ , we let the **border** of  $\mathcal{O}$  be

$$\partial\mathcal{O} = (x_1 \cdot \mathcal{O} \cup \dots \cup x_n \cdot \mathcal{O}) \setminus \mathcal{O} \subseteq \mathbb{T}^n.$$

- ▶ Let  $\mathcal{O}_1, \dots, \mathcal{O}_r \subseteq \mathbb{T}^n$  be order ideals. Then

$$\mathcal{M} = \mathcal{O}_1 \cdot e_1 \cup \dots \cup \mathcal{O}_r \cdot e_r \subseteq \mathbb{T}^n \langle e_1, \dots, e_r \rangle$$

is called an **order module** and

$$\partial\mathcal{M} = \partial\mathcal{O}_1 \cdot e_1 \cup \dots \cup \partial\mathcal{O}_r \cdot e_r \subseteq \mathbb{T}^n \langle e_1, \dots, e_r \rangle$$

is called the **border** of  $\mathcal{M}$ .

## Generalized Module Border Bases [4]

- ▶ We call the set  $\varphi(\mathcal{G}) \subseteq M$  an  **$(\mathcal{M}, \varphi)$ -module border prebasis**.

- ▶ We call  $\varphi(\mathcal{G}) \subseteq M$  an  **$(\mathcal{M}, \varphi)$ -module border basis** of a  $P$ -submodule  $U \subseteq M$  if  $\varphi(\mathcal{G}) \subseteq U$ , if the set

$$\overline{\varphi(\mathcal{M})} = \{t_1 m_{\alpha_1} + U, \dots, t_\mu m_{\alpha_\mu} + U\} \subseteq M/U$$

is a  $K$ -vector space basis of  $M/U$ , and if  $\#\overline{\varphi(\mathcal{M})} = \#\varphi(\mathcal{M})$ .

## Characterization [4]

Assume that  $\varphi|_{\mathcal{M}}$  is injective. Then the following conditions are equivalent.

- ▶ The  $(\mathcal{M}, \varphi)$ -module border prebasis  $\varphi(\mathcal{G}) \subseteq M$  is an  $(\mathcal{M}, \varphi)$ -module border basis of  $\langle \varphi(\mathcal{G}) \rangle$ .
- ▶ The  $\mathcal{M}$ -module border prebasis  $\mathcal{G} \subseteq P^r$  is an  $\mathcal{M}$ -module border basis of  $\langle \mathcal{G} \rangle$  and we have  $\ker(\varphi) \subseteq \langle \mathcal{G} \rangle$ .

## Module Border Bases [4]

Let  $\mathcal{M} = \{t_1 e_{\alpha_1}, \dots, t_\mu e_{\alpha_\mu}\}$  be an order module with border  $\partial\mathcal{M} = \{b_1 e_{\beta_1}, \dots, b_\nu e_{\beta_\nu}\}$  where we have  $t_i, b_j \in \mathbb{T}^n$  and  $\alpha_i, \beta_j \in \{1, \dots, r\}$ .

- ▶ A set of vectors  $\mathcal{G} = \{\mathcal{G}_1, \dots, \mathcal{G}_\nu\} \subseteq P^r$  is called an  **$\mathcal{M}$ -module border prebasis** if the vectors have the form

$$\mathcal{G}_j = b_j e_{\beta_j} - \sum_{i=1}^{\mu} c_{ij} t_i e_{\alpha_i}$$

with  $c_{ij} \in K$ .

- ▶ Let  $\mathcal{G} = \{\mathcal{G}_1, \dots, \mathcal{G}_\nu\} \subseteq P^r$  be an  $\mathcal{M}$ -module border prebasis and let  $U \subseteq P^r$  be a  $P$ -submodule. We call  $\mathcal{G}$  an  **$\mathcal{M}$ -module border basis** of  $U$  if  $\mathcal{G} \subseteq U$ , if the set

$$\overline{\mathcal{M}} = \{t_1 e_{\alpha_1} + U, \dots, t_\mu e_{\alpha_\mu} + U\} \subseteq P^r/U$$

is a  $K$ -vector space basis of  $P^r/U$ , and if  $\#\overline{\mathcal{M}} = \mu$ .

## Remarks [4]

- ▶ The assumption that  $\varphi|_{\mathcal{M}}$  is injective is crucial, i. e. there are generalized module border bases which cannot be characterized with the above theorem.
- ▶ This characterization allows us to define many of the concepts of module border bases, e. g. a division algorithm, for finitely generated  $P$ -modules.
- ▶ We can use this characterization to compute generalized module border bases if we can compute  $\ker(\varphi) \subseteq P^r$ .

## Direct Generalizations from Border Bases [4]

- ▶ higher borders, an index
- ▶ existence and uniqueness
- ▶ a division algorithm, normal remainders, normal forms
- ▶ characterizations via
  - ▶ a special generation property
  - ▶ border form modules
  - ▶ rewrite rules
  - ▶ commuting matrices
  - ▶ liftings of border syzygies
  - ▶ a Buchberger criterion
- ▶ an algorithm for the computation using linear algebra

## Applications [4]

- ▶ “usual” border bases (see for instance [3, Section 6.4]):
  - ▶  $r = 1, M = P, \varphi = \text{id}_P$
  - ▶ can even be considered as module border bases
- ▶ subideal border bases (see for instance [2]):
  - ▶  $M = \langle m_1, \dots, m_r \rangle \subseteq P$  with polynomials  $m_1, \dots, m_r \in P \setminus \{0\}$ ,  $\varphi : P^r \rightarrow M, e_k \mapsto m_k$
  - ▶ can not be considered as module border bases, in general
  - ▶ construction as generalized module border bases yields new results, e. g. characterizations and an algorithm for their computation

## Schreyer’s Theorem for Module Border Bases [1]

Let  $\mathcal{G} = \{\mathcal{G}_1, \dots, \mathcal{G}_\nu\} \subseteq P^r$  be an  $\mathcal{M}$ -module border basis of  $\langle \mathcal{G} \rangle$ , let  $\Lambda \subseteq P^\nu$  be the set of neighbor liftings of  $\mathcal{G}$ , and let  $\{\varepsilon_1, \dots, \varepsilon_\nu\}$  be the canonical basis of  $P^\nu$ . Then we can explicitly construct a term ordering  $\tau$  on  $\mathbb{T}^n \langle \varepsilon_1, \dots, \varepsilon_\nu \rangle$  such that  $\Lambda$  is a  $\tau$ -Gröbner basis of  $\text{Syz}_P(\mathcal{G}_1, \dots, \mathcal{G}_\nu)$ .

## Bibliography

- [1] Martin Kreuzer and Markus Kriegl, **Gröbner bases for syzygy modules of border bases**, 2013 (in preparation).
- [2] Martin Kreuzer and Henk Poulisse, **Subideal border bases**, Mathematics of Computation **80** (2011), 1135–1154.
- [3] Martin Kreuzer and Lorenzo Robbiano, **Computational Commutative Algebra 2**, Springer, Heidelberg, 2005.
- [4] Markus Kriegl, **Module border bases**, 2013 (in preparation).