# CoCoA School 7-12 June 2009 Castelnuovo-Mumford regularity and applications

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Hilbert Functions and graded minimal free resolutions

- Castelnuovo Mumford Regularity and its behavior relative to Hyperplane sections, Sums, Products, Intersections of ideals
- Castelnuovo Mumford regularity and initial ideals
- Finiteness of Hilbert Functions and regularity
- Sounds on the regularity and Open Problems

#### References

### Alternative definitions

One of the aspects that makes the regularity very interesting is that reg(M) can be computed in different ways.

• We say that *M* is *m*-regular if

 $reg(M) \leq m$ 

$$(reg(M) := min\{m : M - regular \})$$

Hence

*M* is *m*-regular  $\iff \beta_{ij}(M) = 0 \quad \forall j \ge i + m + 1$ 

(equivalently  $Tor_i^P(M, k)_j = 0 \quad \forall j \ge i + m + 1$ ).

#### In terms of Local Cohomology

The local cohomology module  $H_m^i(M)$  with support in m and  $0 \le i \le d$   $(H_m^i(M) = 0 \ i > d)$  is an Artinian graded modules. Let

 $end(H_m^i(M)) := \max\{t : H_m^i(M)_t \neq 0\}$ 

 $(\max 0 = -\infty)$ • If d = dimM, then

 $reg(M) := \max\{end(H^i_m(M)) + i : 0 \le i \le d\}$ 

• By Grothendieck-Serre's formula (Bruns-Herzog Theor. 4.4.3)

$$HP_{M}(i) - HF_{M}(i) = \sum_{j=0}^{d} (-1)^{j+1} \lambda (H_{m}^{j}(M)_{i})$$

As a consequence

$$HP_M(i) = HF_M(i) \quad \forall i > reg(M)$$

 $reg-index(M) \leq reg(M)$ 

### In terms of Ext's

By using the local duality (Eisenbud, A 4.2)

$$H^i_m(M)_t \simeq Ext^{n-i}_P(M,P)_{-t-n}$$

 $(Ext_{P}^{j}(M, P) = H_{j}(Hom(\mathbb{F}, P))$  where  $\mathbb{F}$  is a *P*-free resolution of *M*)

(see Eisenbud's book)

 $reg(M) := \min\{m: Ext_P^i(M, P)_j = 0 : \forall j \le -m - i - 1\}$ 

In the case of k-standard graded algebras P/I

 $reg(M) := min\{m : Ext_P^i(P/I, P)_{-m-i-1} = 0\}$ 

(weakly regularity=regularity)

### Regularity and exact sequences

#### Proposition

Let

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

be an exact sequence of graded finitely generated *P*-modules (homogeneous homomorphisms), then

- 1)  $reg(A) \le max(reg(B), reg(C) + 1)$
- 2)  $reg(B) \le max(reg(A), reg(C))$

3) 
$$reg(C) \le max(reg(A) - 1, reg(B))$$

4) If A has finite length, then reg(B) = max(reg(A), reg(C)).

Hint: consider the long exact sequence

$$\cdots \to Ext^{j-1}(A, P) \to Ext^{j}(C, P) \to Ext^{j}(B, P) \to$$
$$\to Ext^{j}(A, P) \to Ext^{j+1}(C, P) \to \dots$$

### Regularity and linear resolutions

#### Definition

An ideal *I* has a pure resolution if for all *i* the minimal *i*-syzygies (if any) have all the same degree, that is for all *i* there exits at most one *j* so that  $\beta_{ij}(I) \neq 0$ .

#### Definition

*I* has a linear resolution if it is generated in one degree, say *d*, and  $\beta_{ij}(I) = 0$  for all  $j \neq i + d$ . If this is the case we say that *I* has *d*-linear resolution and

$$reg(I) = d.$$

$$0 \to {\pmb{P}}^{\beta_h}(-{\pmb{d}}-{\pmb{h}}) \to \dots \to {\pmb{P}}^{\beta_1}(-{\pmb{d}}-1) \to {\pmb{P}}^{\beta_0}(-{\pmb{d}}) \to {\pmb{I}} \to 0$$

The matrices associated to the maps of the resolution have linear entries.

If I, J have the same HF and both have pure resolution then they have the same Betti numbers.

#### Regularity and linear resolution

#### Proposition

Set  $I_{\geq k} := I \cap m^k$ .

$$r = reg(I) \implies I_{\geq k}$$
 has linear resolution  $\forall k \geq r$ 

**Important fact:** If a graded module *M* has *d*-linear resolution, then *mM* has (d + 1)-linear resolution.

It is enough to consider the exact sequence of graded modules

$$0 \rightarrow mM \rightarrow M \rightarrow M/mM \rightarrow 0$$

Now since *M* is generated in degree *d* we have  $M/mM \simeq k^{\mu}(-d)$  which has *d*-linear resolution (*reg*(K) = 0). Then by the exact sequence

 $reg(mM) \le max\{d, d+1\}$ 

On the other hand  $reg(mM) \ge d + 1 = indeg (mM)$ .

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Use P ::= Q[x, y, z];
I := Ideal(x^2, xy, xz, y^3);
CastelnuovoRegularity(I);
3
Res(I);
0 \longrightarrow P(-4) \longrightarrow P^{3}(-3)(+)P(-4) \longrightarrow P^{3}(-2)(+)P(-3)
J:=Intersection(I,Ideal(x,y,z)^3);
Res(J);
0 \longrightarrow P^{3}(-5) \longrightarrow P^{9}(-4) \longrightarrow P^{7}(-3)
```

## Regularity and hyperplane sections

Let  $F \in P$  be homogeneous such that  $0 :_M F$  has finite length, by using the comparison between regularities in exact sequences, we get

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reg(M) = max(reg(0:_M F), reg(M/FM) - \deg F + 1)
```

(Actually it is enough dim 0 :<sub>M</sub>  $F \le 1$ )

• If  $L \in P_1$  is *M*-regular, then

reg(M) = reg(M/LM)

• If *L* is a linear filter regular element  $(M_n \xrightarrow{\cdot L} M_{n+1} \text{ injective } n \gg 0)$  $reg(M) = \max\{reg(0:L), reg(M/LM)\} \ge reg(M/LM)$ 

(L generic linear form)

### **Tutorial**

# **Exercise** Let $L \in P_1$ be a linear form. Can you find examples with reg(I + (L)) > reg(I)?

**Problem (Caviglia)** Is reg(I + (L)) bounded by a polynomial function (possibly quadratic) of reg(I)?

### Regularity of a CM module

#### Proposition

Let M be a Cohen-Macaulay graded finitely generated P -modules of dimension d

1)  $reg(M) = deg(h_M(z))$  where  $h_M(z)$  is the *h*-polynomial of *M*  $(HS_M(z) = \frac{h_M(z)}{(1-z)^d})$ 2) reg(M) = reg-index(M) + d

Proof:  $(|k| = \infty)$  Let  $J = (L_1, ..., L_d) \subseteq P$  the ideal generated by a maximal regular sequence of linear forms. We know that

$$reg(M) = reg(M/JM)$$

Now M/JM is an Artinian module and

$$reg(M/JM) = \max\{n: (M/JM)_n \neq 0\} = \deg(h_{M/JM}(z)) = \deg(h_M(z))$$

since  $HS_M(z) = \frac{h_{M/JM}(z)}{(1-z)^d}$ . Hence  $reg(M) = reg \cdot index(M/JM) = reg \cdot index(M) + d$ .

### Regularity and sums, product, intersection of ideals

Let I, J homogeneous ideals, there are the following exact sequences:

$$0 \to P/I \cap J \to P/I \oplus P/J \to P/I + J \to 0$$
$$0 \to I \cap J/IJ \to P/IJ \to P/I \cap J \to 0$$

We prove

#### Theorem

If  $(I \cap J)/IJ$  is a module of dimension at most 1, then

1) 
$$reg(I+J) \le reg(I) + reg(J) - 1$$

2) 
$$reg(I \cap J) \leq reg(I) + reg(J)$$

3) 
$$reg(IJ) \leq reg(I) + reg(J)$$
.

### Regularity and sums, product, intersection of ideals

- G. Caviglia gave an example with  $\dim(I \cap J)/IJ = 2$  and  $reg(I + J) \ge reg(I) + reg(J)$
- The possibility of extending 2) and 3) to any number of ideals is still unclear.
- Conca and Herzog: If  $I_1, \ldots, I_r$  are generated by linear forms, then

$$reg(I_1 \cdots I_r) = \sum_i reg(I_i) = r$$

• Derksen and Sidman: If  $I_1, \ldots, I_r$  are generated by linear forms, then

$$reg(I_1 \cap \cdots \cap I_r) = \sum_i reg(I_i) = r$$

• Chardin, Cong, Trung: If *I*<sub>1</sub>,..., *I<sub>r</sub>* are monomial complete intersection ideals , then

$$reg(I_1 \cap \cdots \cap I_r) \leq \sum reg(I_i)$$

**Exercise** Compare the regularities of the ideals *I* and *J* with those of  $\sqrt{I}$ ,  $I^2$ , I + J, *IJ* in some examples.

**Problem** Compare  $reg(\sqrt{I})$  and reg(I) with *I* is a monomial ideal. Have you some guess in P = K[x, y]? In general? (The answer is known)

### Tutorial

**Exercise** [Chardin-D'Cruz] Let *R* be the homogeneous coordinate ring of the monomial surface  $S \subseteq \mathbb{P}^5$  parametrized by

$$(a^{13}, ab^{12}, a^5c^8, a^5bc^7, a^7b^5c, b^9c^4)$$

Let  $I = I(S) \subseteq k[X_0, ..., X_5]$  and J the ideal generated by the polynomials of I of degree  $\leq 21$ . By using CoCoA verify that

$$I = I \cap (X_1, \ldots, X_5)$$

2 
$$reg(I) = 32$$
 and  $reg(J) = 24$ 

• depth
$$P/I = 1$$
 and depth $P/J = 2$ .

Exercise Let n, m be positive integers and let

$$I_{m,n} = (x^m t - y^m z, z^{n+2} - xt^{n+1}) \subseteq K[x, y, z, t]$$

By CocoA's help, check (in particular cases) the following equalities

•  $reg(I_{m,n}) = m + n + 2$  (complete intersection)

2 
$$\operatorname{reg}(\sqrt{I_{m,n}}) = m \cdot n + 2$$